



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Attorney Docket No: Q76374

Baltes GASS

PCT/EP02/00292

1.53(b) Continuation Appln. No.: 10/614,824

Group Art Unit: 3726

Confirmation No.: 8136

Examiner: Not yet assigned

Filed: July 9, 2003

For: MACHINING SYSTEM AND TOOL UNIT FOR MACHINING WORKPIECES

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of European Search Report in a

INFORMATION DISCLOSURE STATEMENT
1.53(b) Continuation U.S. Appln. No.: 10/614,824
Attorney Docket Q76374
May 7, 2004

counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Search Report indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

An English Abstract for reference DE 36 27 706 C2 is attached herewith on a separate sheet.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Robert V. Sloan
Registration No. 22,775

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 7, 2004

Substitute for Form 1449 A & B/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

MAY 07 2004

PATENT & TRADEMARK OFFICE

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|------------------------|------------------|
| Application Number | 10/614,824 |
| Confirmation Number | 8136 |
| Filing Date | July 9, 2003 |
| First Named Inventor | Baltes GASS |
| Art Unit | 3726 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | Q76374 |

Sheet

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of

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U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| | | Number | Kind Code ² (if known) | | |
| | | US 4,453,303 | A | 06/12/1984 | Leddeet Philippe |
| | | US 5,207,309 | A | 05/04/1993 | Jones, et al. |
| | | US 5,321,619 | A | 06/14/1994 | Matsuda |
| | | US 5,857,814 | A | 01/12/1999 | Jang (English-language Equivalent of DE 196 44 694) |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation ⁶ |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--|
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | | EP | 0 825 502 | A | 02/25/1998 | DUERR Systems GmbH | |
| | | DE | 40 21 330 | A | 01/16/1992 | Mannesmann AG | |
| | | DE | 196 44 694 | A1 | 05/07/1997 | Jang | English-language equivalent listed above |
| | | DE | 36 27 706 | C2 | 03/17/1988 | Audi NSU Auto Union AG | English Abstract |
| | | DE | 198 43 162 | A1 | 04/13/2000 | Alfing Montagetechnik GmbH | English-language equivalent listed above |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
|--------------------|-----------------------|--|--------------------------|
| | | O. V. Dugern, et al.: "Eine Modulare Grundsteuerung Fur Flexible Montagezellen" Automatisierungstechnische Praxis - ATP, Oldenbourg Verlag, Munchen, DE, Vol. 32, NR. 1, Page(s) 22-29, XP000126014, ISSN : 0178-2320 | |
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Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.